be by certify that this correspondence is being filed by costing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Application Number, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Graff-P1-03

Date: September 9, 2003

Signed: Proma (Reg. No. 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : GRAFF, Richard A.

Serial No. : 10/611,305

Filed : June 30, 2003

For : COMPUTER SUPPORT FOR VALUING AND TRADING

SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT

INCOME

Group Art Unit :

Examiner :

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

1. Preliminary Amendment.

authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: September 9, 2003

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824

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Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

PRELIMINARY AMENDMENT

SIR:

Please enter the amendment as set forth below.